ADDRESS

*) DIDIVIDUAL

NONPROFIT ORGANIZATION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F R 19(e) AND 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an offici	al empowered to act on behalf of the nonprofit organization identified below
NAME OF ORGANIZATION ADDRESS OF ORGANIZATION:	The Regents of the University of California 300 Lakeside Drive 22nd Floor
ONO E CENTRAL	Oakland, California 94612-3550
=	
TYPE OF ORGANIZATION.	ER INSTITUTION OF HIGHER EDUCATION
IN TAX FXEMPT UNDER	INTERNAL REVENUE SERVICE CODE (26 U S.C. 501(a) AND 501(c)(3)) IC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED (NAME OF STATE)
	(CITATION OF STATUTE)
d) WOULD QUALIFY AS 1	TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U S C I(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
e) WOULD QUALIFY AS	NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE
OF STATE OF THE UN	TTED STATES OF AMERICA IF LOCATED IN THE UNITED STATES.
OF AMERICA	(NAME OF STATE)
	(CITATION OF STATUTE)
I hereby declare that the nonprofit	organization identified above qualifies as a nonprofit organization as defined in
37 CFR 19(e) for purposes of p	gying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in
regard to the invention, entitled A	NIMAL MODELS OF HUMAN PROSTATE CANCER PROGRESSION by
inventor(s) Charles Sawyers, Kare	n Klein, Owen Witte and Robert Reiter described in
a) the specification	
b) provisional appl	lication serial no, filed
c) on-provisional	application serial no. 08/951,143, filed October 15, 1997
d) patent no.	_, issued
organization with regard to the at	
rights to the invention is listed be inventor, who could not qualify a not qualify as a small business co *NOTE: Separate verified stater	organization are not exclusive, each individual, concern or organization having flow* and no rights to the invention are held by any person, other than the s an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would meern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e) ments are required from each named person, concern or organization having their status as small entities. (37 C F R. 1.27)
NAME UroGenesys	
ADDRESS 1701 Colorado Avenu	e, Santa Monica, California 90404
INDIVIDUAL	b) SMALL BUSINESS CONCERN c) □ NONPROFIT ORGANIZATION
	ot
NAME	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. 1 28(b))

b) SMALL BUSINESS CONCERN

c) | NONPROFIT ORGANIZATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may peopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME:

Emily Waldron

TITLE

Technology Transfer Officer

ADDRESS:

UCLA Sponsored Research 1400 Ueberroth Building

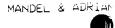
405 Hilgard Avenue

Los Angeles, California 90024

SIGNATURE.

DATE:

ļ .,



SMALL BUSINESS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

	that I am		
a) 🔯 b) 🔲	the founder of the small business of an official of the small business of	concern identified below: oncern empowered to act on behalf of the concern identified	d below:
. -	NAME OF CONCERN: ADDRESS OF CONCERN:	UroGenesys 1701 Colorado Avenue Santa Monica, California 90404	
13 C.F.R. 121.8 35, United State For purposes of concern of the p concerns are affi	01-805, and reproduced in 37 C.F.R s Code, in that the number of emplothus statement, (1) the number of enersons employed on a full-time, par	ness concern qualifies as a small business concern as defined. 1.9(d), for purposes of paying reduced fees under Section byces of the concern, including those of its affiliates, does no polyoes of the business concern is the average over the prest-time or temporary basis during each of the pay periods of rectly or indirectly, one concern controls or has the power to introl both.	41(a) and (b) of Title of exceed 500 persons whous fiscal year of the the fiscal year, and (2)
with regard to th		we been conveyed to and remain with the small business condensed by DELS OF HUMAN PROSTATE CANCER PROGRESSIC bert Reiter described in	
a)	the specification filed herewith. provisional application serial no. non-provisional application serial patent no	no. <u>08/951,143</u> , filed <u>October 15, 1997</u>	
		ness concern are not exclusive, each individual, concern or to the invention are held by any person, other than the invention	
under 37 C.F.R. each named per	ependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization usen, concern or organization having The Regents of the University of	1.9(c) or by any concern which would not qualify as a small under 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averring to their status as small entitional control of the	Il business concern nts are required from
NAME ADDRESS	ependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization u	1.9(c) or by any concern which would not qualify as a small under 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averring to their status as small entitional control of the	Il business concern nts are required from
NAME ADDRESS NAME ADDRESS NAME ADDRESS	ependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization usen, concern or organization having The Regents of the University of	1.9(c) or by any concern which would not qualify as a small ender 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averting to their status as small entitional concern (California Oakland, California 94612-3550) NESS CONCERN (a) M NONPROFIT ORGANIZATION	Il business concern nts are required from
NAME ADDRESS	lependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization used, concern or organization having The Regents of the University of 300 Lakeside Drive, 22nd Floor, (10 IVIDUAL b) SMALL BUSD IVIDUAL b) SMALL BUSD TVIDUAL b) SMALL BUSD	1.9(c) or by any concern which would not qualify as a small ender 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averring to their status as small entitional concern (a) Separate verified stateme rights to the invention averring to their status as small entitional concern (b) Separate verified stateme rights to the invention averring to their status as small entitional concern (b) Separate verified statement of the invention of any change in status resulting in loss the earliest of the issue fee or any maintenance fee due af	Il business concern ints are required from ties (37 C F R 1 27)
NAME ADDRESS A	Expendent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization users, concern or organization having The Regents of the University of 300 Lakeside Drive, 22nd Floor, (IVIDUAL b) SMALL BUSD TVIDUAL b) this application or or to paying, or at the time of paying entity is no longer appropriate. (37) that all statements made herein of retrue; and further that these statem able by fine or imprisonment, or bo may jedpardize the validity of the a	1.9(c) or by any concern which would not qualify as a small ender 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averring to their status as small entitional concern (a) Separate verified stateme rights to the invention averring to their status as small entitional concern (b) Separate verified stateme rights to the invention averring to their status as small entitional concern (b) Separate verified statement of the invention of any change in status resulting in loss the earliest of the issue fee or any maintenance fee due af	Il business concern ints are required from ties (37 C F R 1 27) s of entitlement to small ter the date on which information and belief ments and the like so e, and that such willful
NAME ADDRESS NAME ADDRESS NAME ADDRESS NAME ADDRESS NAME ADDRESS NAME ADDRESS NAME I acknowledge the country status price status as a small I hereby declare are believed to be made are punish false statements statement is directly name.	lependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization upon, concern or organization having The Regents of the University of the 300 Lakeside Drive, 22nd Floor, (and the University of the 300 Lakeside Drive, 22nd Floor, (and the SMALL BUSD INDUAL b) II SMALL BUSD the duty to file, in this application or or to paying, or at the time of paying entity is no longer appropriate. (37 that all statements made herein of the true; and further that these statements is and further that these statements by fine or imprisonment, or both may jeopardize the validity of the acted. Donald B. Rice, Ph. D.	1.9(c) or by any concern which would not qualify as a small ender 37 C.F.R. 1.9(e). *NOTE: Separate verified stateme rights to the invention averring to their status as small entired to the instance for nonpropertion of the instance for the earliest of the issue fee or any maintenance fee due after the control of their status resulting in loss to the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the issue fee or any maintenance fee due after the earliest of the	Il business concern ints are required from ties (37 C F R 1 27) s of entitlement to small ter the date on which information and belief ments and the like so e, and that such willful
NAME ADDRESS NAME	lependent inventor under 37 C.F.R. 1.9(d) or a nonprofit organization upon, concern or organization having The Regents of the University of the 300 Lakeside Drive, 22nd Floor, (available by III small bush the duty to file, in this application or or to paying, or at the time of paying entity is no longer appropriate. (37 that all statements made herein of the true; and further that these statements paying the acted.	1.9(c) or by any concern which would not qualify as a small ender 37 C.F.R. 1.9(e). *NOTE: Separate verified statements inghts to the invention averting to their status as small entitional concern. California Oakland, California 94612-3550 NESS CONCERN a) NONPROFIT ORGANIZATION Patent, notification of any change in status resulting in loss to the earliest of the issue fee or any maintenance fee due af 7 C.F.R. 1.28(b)) my own knowledge are true and that all statements made on tents were made with the knowledge that willful false states with under Section 1001 of Title 18 of the United States Code application, any patent issuing thereof, or any patent to which	Il business concern ints are required from ties (37 C F R 1 27) s of entitlement to small ter the date on which information and belief ments and the like so e, and that such willful

MANDEL & ADRIANO

United States Patent Application

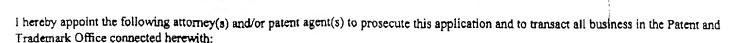
COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF **HUMAN PROSTRATE CANCER PROGRESSION** The specification of which a. is attached hereto b. Was filed on October 15, 1997 as application serial no. 08/951,143 and was amended on (if applicable) (in the case of a PCTfiled application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by amendment referred to above. Eacknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of rederal Regulations, § 1.56 (attached hereto). Libereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's estrificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed: no such applications have been filed. such applications have been filed as follows: FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year) ALL FOREIGN APPLICATION(S). IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, mouth, year) I hereby claim the benefit under Title 35. United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application. DATE OF FILING (day, month, year) STATUS (patented, pending, abandoned) U.S. APPLICATION NUMBER

I hereby claim the benefit under Title 35, United States Code § 119(e) of any	United States provisional application(s) listed below

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
08/732,676	October 15, 1996



Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

Full Name Of Inventor	Family Name Sawyers	First Given Name Charles	Second Given Name L.
Residence	City	State or Foreign Country	Country of Citizenship -
& Citizenskip	Los Angeles	CA	USA
Post Office	Post Office Address	City	State & Zip Code/Country
Address	177 S. Westgate Avenue	Los Angeles	CA 90049/USA
ture of Inventor	201: Clinta I fam	yus	Date: 3/01/00
Full Name	Family Name	First Given Name	Second Given Name
Of Inventor	Klein	Karen	Α.
Residence	City	State or Foreign Country	Country of Citizenship
& Citizenship	Los Angeles	CA	USA
Post Office	Post Office Address	City	State & Zip Code/Country
Address	174 Densiow Avenue	Los Angeles	CA 90049/USA
ture of Inventor	202:		Date:
Full Name	Family Name	First Given Name	Second Given Name
Of Inventor	Witte -	Owen	N
Rosidence & Citizenship	City Sherman Oaks	State or Foreign Country CA	Country of Citizenship USA
Post Office	Poet Office Address	City	State & Zip Code/Country
Address	14727 Sutton Drive	Sherman Oaks	CA 91403/USA
iture of Inventor	203:		Date:
Full Name	Faquity Name	First Given Name	Second Given Name
Of Inventor	Reiter	Robert	E
	City	State or Foreign Country	Country of Citizenship
Residence	Los Angeles	CA	USA
& Citizenship	100.110.00		
	Post Office Address	City	State & Zip Code/Country
& Citizenship		City Los Angeles	CA 9002446017/USA

and Marie

A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective (a) patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claum is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

> prior art cited in search reports of a foreign patent office in a counterpart application, and (1)

the closest information over which individuals associated with the filing or prosecution of a patent application (2)believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office

Under this section, information is material to patentability when it is not cumulative to information already of record or (b) Under this section, informs
Deing made of record in the application, and
(1) It establishes, by it
(2) It refutes, or is income
(i) Opposing
(ii) Asserting

It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

It refutes, or is inconsistent with, a position the applicant takes in:

- Opposing an argument of unpatentability relied on by the Office, or

preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of

- - Each inventor named in the application: (1)

100

- Each attorney or agent who prepares or prosecutes the application; and (2)
- Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the (d) attorney, agent, or inventor.

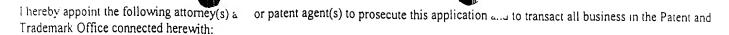
MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name, that

Everily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION The specification of which is attached hereto * 🗵 was filed on October 15, 1997 as application serial no. 08/951,143 and was amended on (if applicable) (in the case of a PC 1filed application) described and claimed in international no. filed and as amended on (if any), which I have reviewe! and for which I solicit a United States patent. Thereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37. Code of Decleral Regulations, § 1.56 (attached hereto). iff hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventors. errificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before has of the application on the basis of which priority is claimed: no such applications have been filed. such applications have been filed as follows: FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 TE 📮 COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year) ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year) thereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as testined in Title 37. Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application. DATE OF FILING (day, month, year) U.S. APPLICATION NUMBER STATUS (patented, pending, abandoned) Thereby claim the benefit under Title 35. United States Code § 119(e) of any United States provisional application(s) listed below U.S. PROVISIONAL APPLICATION NUMBER DATE OF FILING (Day, Month, Year) October 15, 1996 08/732,676



Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

!	Full Name Of Inventor	Family Name Sawyers	First Given Name Charles	Second Given Name
	Residence & Citizenship	City Los Angeles	State or Foreign Country CA	Country of Citizenship USA
I	Post Office Address	Post Office Address 177 S. Westgate Avenue	City Los Angeles	State & Zip Code/Country CA 90049/USA
Sign	ature of Inventor 2	01:		Date:
2	Full Name Of Inventor	Family Name . Klein	First Given Name Karen	Second Given Name A.
0	Residence & Citizenship	City Los Angeles	State or Foreign Country CA	Country of Citizenship USA
2 ,,	Post Office Address	Post Office Address 174 Denslow Avenue	City Los Angeles	State & Zip Code/Country CA 90049/USA
Sign	ature of Inventor 2	02: Kama Kluw, 1	PhD	Date: 8/6/00
2	Full Name Of Inventor	Family Name Witte	First Given Name Owen	Second Given Name
0	Residence & Citizenship	City Sherman Oaks	State or Foreign Country CA	Country of Citizenship USA
J	Post Office Address	Post Office Address 14727 Sutton Drive	City Sherman Oaks	State & Zip Code/Country CA 91403/USA
Sign	ature of Inventor 2	203:		Date:
2	Full Name Of Inventor	Family Name Reiter	First Given Name Robert	Second Given Name E
n	Residence & Citizenship	City Los Angeles	State or Foreign Country CA	. Country of Citizenship USA
1	Post Office Address	Post Office Address \ 10511 Kinnard Avenue	City Los Angeles	State & Zip Code/Country CA 90024-6017/USA
<u>. </u>	nature of Inventor	204:		Date:

§ 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective (a) patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - prior art cited in search reports of a foreign patent office in a counterpart application, and
- the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information the application, and (1) It establishes, by it (2) It refutes, or is income (i) Opposing (ii) Asserting Under this section, information is material to patentability when it is not cumulative to information already of record or
 - It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim.
 - It refutes, or is inconsistent with, a position the applicant takes in:
 - Opposing an argument of unpatentability relied on by the Office, or
 - Asserting an argument of patentability.

prima facje case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the Especification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of Epatentability.

- Individuals associated with the filing or prosecution of a patent application within the meaning of this section are (c)
 - (1) Each inventor named in the application:

1 1 1

THE THE

- Each attorney or agent who prepares or prosecutes the application; and (2)
- Every other person who is substantively involved in the preparation or prosecution of the application and who is (3) associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that; my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION

HUMAN PROSTRATE CA			addit endured. Alknown MODELS OF
	15, 1997 as application serial no. 08/9 and claimed in international no. ited States patent.	51,143 and was amended on filed and as amended o	(if applicable) (in the case of a PCT- on (if any), which I have reviewed
I hereby state that I have re any amendment referred to	yiewed and understand the contents of above.	the above-identified specificat	ion, including the claims, as amended by
l acknowledge the duty to o	disclose information which is material t	o the patentability of this appli	ication in accordance with Title 37, Code of
See listed below and	he basis of which priority is claimed: s have been filed. ave been filed as follows:		eign application(s) for patent or inventor's ntor's certificate having a filing date before
in the second	foreign application(s), if any,	CLAIMING PRIDKITY UNDER	DATE OF ISSUE
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	(day, moath, year)
			ATTON(S)
Tan I	all foreign application(s), if any,	FILED BEFORE THE PRIORITY	DATE OF ISSUE
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, mouth, year)	(day, mouth, year)
		San United States	and PCT international application(s) listed

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application.

defined in Title 37, Code of Federal Regulation of PCT international filing date of this applied	ation.	11
or PCT international time	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
U.S. APPLICATION NUMBER	DATE OF FILING (ds):	
Led 1		a reavisional application(s) listed below:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

I hereby claim the benefit under Title 33, United 52	DATE OF FILING (Day, Month, Yes)
U.S. PROVISIONAL APPLICATION NUMBER	October 15, 1996
08/732,676	

WHUNEL HIND HOKTHE

I herety appoint the following attorneys)
Trademark Office connected herewith:

or patent agent(s) to prosecute this application and to transact all dusiness in the Patent and

Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

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§ 1.56 Duty to disclose information material to patentability.

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A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patemability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

> prior art cited in search reports of a foreign patent office in a counterpart application, and (1)the closest information over which individuals associated with the filing or prosecution of a patent application (2)

believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information wade of record in the application, and
(1) It establishes, by it Under this section, information is material to patentability when it is not cumulative to information already of record or

It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

It refutes, or is inconsistent with, a position the applicant takes in: (2)

Opposing an argument of unpatentability relied on by the Office, or (i)

Asserting an argument of patentability. (ii)

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the apecification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of Datentability.

- Individuals associated with the filing or prosecution of a patent application within the meaning of this section are: (c)
 - Each inventor named in the application: (1)
 - Each attorney or agent who prepares or prosecutes the application; and (2)
- Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION

a. ∐ is attached hereto b. ⊠ was filed on Octob filed application) describe and for which I solicit a U	ed and claimed in i	nternational no.	/951,143 and was amende filed and as ame	` ' '
I hereby state that I have any amendment referred t		rstand the contents o	f the above-identified spec	cification, including the diaims, as amended by
Ecderal Regulations, § 1. Thereby claim foreign procertificate listed below are that of the application on the supplication on the such application of the such application.	56 (attached hereto iority benefits unde nd have also identif the basis of which	o). Title 35, United Strained Strained Strained Strained: priority is claimed:	ates Code, § 119/365 of a	a application in accordance with Title 37, Code of a specific and application (s) for patent or inventor's r inventor's certificate having a filing date before
nun	FOREIGN APP	LICATION(S), IF ANY	, CLAIMING PRIORITY UN	DER 35 USC § 119
COUNTRY	APPLIC	CATION NUMBER	DATE OF FILING	DATE OF ISSUE
in the state of th			(day, month, year)	(day, month, year)
4	ALL FOREIGN APPI	ICATION(S), IF ANY.		
4		LICATION(S), IF ANY,	filed BEFORE THE PRIO DATE OF FILING (day, month, year)	
I hereby claim the benefit below and, insofar as the manner provided by the	at under Title 35, U subject matter of e first paragraph of T of Federal Regula ng date of this appl	nited States Code, § each of the claims of lite 35, United State stions, § 1.56(a) which ication.	DATE OF FILING (day, moath, year) 120/365 of any United Stathis application is not disc	RITY APPLICATION(S) DATE OF ISSUE

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Thereby appoint the following attorney(s) arm/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

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	Residence & Citizenship	City Los Angeles	State or Foreign Country	,	Country of Citizenship
	Post Office Address	Post Office Address 174 Densiow Avenue	City Los Angeles		State & Zip Code/Country CA 90049/USA
gn	sture of Inventor 2	102:		Date:	
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go.	sture of Inventor 2	203:		Date:	
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- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
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- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.